



# Investment Company Services

## About us

Using our core strengths as certified public accountants and business advisors, Alpern Rosenthal helps investment companies develop approaches and practices that lead to increased profitability and growth. Confronted with an exacting and complex set of demands, investment companies and other financial services organizations require the highest level of proficiency to be successful. From mega-mergers and globalization to ever-changing regulations and customer needs, financial institutions are expected to stay one step ahead of the latest trend impacting the industry. They also demand the same from their accounting firm.

Alpern Rosenthal provides a broad variety of services to a multitude of financial services clients that operate on local, regional, national and international levels. Our accountants and advisors know the importance of maintaining tight internal controls, establishing appropriate segregation of duties and following detailed policies and procedures. We represent the following types of entities:

- Trust companies
- Investment funds including:
  - Common and collective trust funds
  - Investment partnerships
  - Hedge funds
  - Venture capital and private equity funds
  - Offshore funds
  - Fund of funds

## Services

Alpern Rosenthal knows the ins-and-outs of the rigorous regulatory requirements faced by financial service organizations. We offer several areas of support to investment managers and institutional investors, through our fully dedicated, diverse team of professionals. Some of these services specific to the financial services industry include:

- Audits of financial statements
- Tax return preparation and tax strategy development
- Due diligence for venture fund acquisitions
- Accounting assistance with capital allocations for limited partnerships
- Performance examinations in accordance with Global Investment Performance Standards (GIPS) – formerly AIMR-PPS
- Consultations with attorneys on partnership documents
- Consultation and review of systems and procedures used by investment managers



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