



## Employee Benefits Services Group

The Employee Benefits Services Group of Alpern Rosenthal is comprised of a team of professionals who have extensive experience in employee benefits matters and are committed to helping clients align their benefits strategies with their bottom line. Our seasoned team of specialists works closely with clients to provide creative yet practical solutions that make a difference to our clients.



Our team members work closely with clients to establish and maintain employee benefits programs that optimize tax efficiency and ensure that employees receive the benefits they deserve. Our Employee Benefits Services Group has extensive experience serving public and private companies, as well as not-for-profit entities. At Alpern Rosenthal, we are an innovative firm dedicated to shaping the long-term relationships that have advanced our clients' businesses.

### We offer a broad range of benefits compliance and consulting services including:

- Audits of defined contribution and defined benefit pension plans for private and public companies — we are registered with the Public Company Accounting Oversight Board (PCAOB) to perform employee benefit plan audits that require an 11-K filing
- Audits of defined contribution plans — 401(k) and 403(b) for not-for-profit entities
- Preparation of IRS Forms 5500, summary annual reports, and assistance with other reporting and disclosure requirements under ERISA — our Firm can be your one-stop resource for effective preparation of a variety of reports and federal tax forms
- Compliance reviews — reduce the cost of compliance under IRS or DOL audits and prevent plan disqualification
- Consulting on employee benefit tax-savings opportunities, deferral techniques and cost-saving strategies — at Alpern Rosenthal, we're determined to discover the methods that help you make the most of your benefits plans, and really grow your business
- Plan design and implementation — our experts can help you develop retirement plans, deferred compensation, cafeteria plans and flexible spending accounts
- Third party administration and record keeping — Alpern Rosenthal remains on top of defined contribution plans like 401(k)s, profit-sharing plans and ESOPs with dedicated administration and precision record keeping services
- Executive compensation consulting for tax and ERISA issues — turn to us for up-to-date information on options, stock and insurance-based compensation, and equity-based plans
- Liaison services with IRS and DOL — Alpern Rosenthal can ease your load by acting as your key representative with the IRS and DOL for matters involving plan examinations
- Assistance with merger and acquisition issues — when mergers and acquisitions affect benefit plans, we provide invaluable guidance
- Discrimination testing — we can help test a 401(k), 401(m), or 401(a)(4) plan, as well as perform cross-testing of "new comparability" retirement plans
- Fringe benefit plan reporting and taxation — look to us for comprehensive reporting support for these and other plans because we work to really understand the plans you have in place, and the most effective way to report your information